

Role of Genetics in Orthodontics – Simplified Concept

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Abstract:- In oral cavity, disturbances due to genetic alterations may range from lack of tooth development to morphological defects. Due to technical advances in genetic engineering and molecular biology, valuable information regarding dentofacial growth could be studied in detailed manner. This helps us to explain the aetiology and pathogenesis of many dentofacial disorders. The success in treatment lies first in determining the aetiology of tooth anomalies and finally differentiating the effect of genes and environment on the orofacial diseases of that particular individual. Types of Genetic Factors influence numerous biological processes including orthodontic tooth movement (OTM), external apical root resorption, and problems with tooth formation and/or eruption. Therefore, when a clinician has a good understanding of the genetic factors that influence OTM and some of the genetically influenced problems associated with OTM, treatment outcomes can be improved for many of their patients.

Keywords:- Craniofacial Defect, Homeoboxgenes, Orthodontic Tooth Movement.

I. INTRODUCTION

Body organisation requires cell differentiation and morphogenesis which are controlled by gene expression. Gene expression is defined as an activation of a gene that results in production of polypeptide/ protein that can activate/deactivate other genes with the influence of transcription factors (growth factors)¹. Every organism has a unique body pattern because of the influence of Homeobox genes. These seem to be the master genes that help in development of individual structures from different areas of the body¹. They are likely to be an important fundamental in evolution of the specialized body parts of many animal species and the differences between different organisms can be due to different modes of action of homeobox genes. A homeobox is a DNA sequence found within genes that are involved in the regulation of patterns of anatomical development (morphogenesis) in human beings². The

homeobox is about 180 base pairs long. It encodes a protein domain (homeodomain) which helps in binding with the DNA. The homeodomain is capable of recognizing and binding to specific DNA sequences³. During embryogenesis, through the early recognition property of the homeodomain, the homeoproteins are believed to regulate the entire *expression of genes* and also direct the formation of many body structures¹. Homeobox genes encode transcription factors that can regulate expression of other genes. This domain is first identified in *Drosophila* (fruit fly)⁵. A genetic factor can be defined as a gene or a specific gene variation that has an effect on some characteristic(s) of an individual or their offspring, where a gene is the smallest unit of inherited information⁷. Inherited and newly introduced (sporadic) gene variations may be defined simply as a single nucleotide change at a specific location in the DNA code (i.e., a polymorphism), or they could consist of deletions, insertions, amplifications/duplications, inversions, and/or transposition of larger portions of the DNA code⁷.

II. DISCUSSION

➤ Gene Responsible For Craniofacial Defect

At times it becomes difficult for a clinician to determine the cause of a disease, especially if the disease is heritable and its symptoms are a part of the presentation put forward by genetically determined traits of a disorder⁸. The presence of many affected members in a family suggests its genetic etiology⁸. However, to determine that a disease has a definite genetic basis, it should exhibit a specific pattern of inheritance (dominant, recessive or X-linked, etc.)⁹. When the gene responsible for disease is transmitted from one generation to the next. It follows specific laws of mendelian inheritance⁹. Though this is true for the diseases which are due to single gene (monogenic) defects. Genetic diseases are also determined due to the action of many genes (polygenic) acting together¹⁰. Not only this, some diseases are originated out of interactions between many genes and environmental factors (multifactorial). Some of the defects and their responsible genes are given in table 1.

CRANIOFACIAL DEFECT	RESPONSIBLE GENE
Ectodermal dysplasia	Mutation in Ectodysplasin A (EDA), Ectodysplasin A receptor gene (EDAR), locus of gene is Xq12- q13.1 ¹¹ .
Ectrodactyly-ectodermal dysplasia-cleft lip/cleft palate syndrome	Mutation in Tumor protein p63 and locus of gene is 3q27 ¹² .
Holoprosencephaly	Mutation in Human sonic hedgehog gene (SHH), (ZIC2) zinc finger protein gene, homeobox protein genesix3, (TGIF) TG interacting factor ¹³ .
Mandibulofacial dysostosis (treacher collins-franceschetti syndrome)	Mutation in (TCOF 1) Treacle ribosome biogenesis factor 1 gene, Defective gene is located on the long arm of chromosome number 5 (5q31.3-q33.3) ¹⁴ .
Cleidocranial dysplasia	Mutation in Core binding factor alpha-1 (CBFA1).

	The gene CBFA1 is located on the short arm of chromosome number 6 (6p21) ¹⁵ .
Apert syndrome (acrocephalosyndactyly)	Mutation in Fibroblast growth factor receptor 2 (FGFR2) genes. This gene is located on the long arm of chromosome number 10 (10q 26) ¹⁶ .
Crouzon syndrome (craniofacial dysostosis)	Mutation in Fibroblast growth factor receptor genes (FGFR-2 and 3) which is mapped to the chromosome locus 10q25-10q26 ¹⁷
Pfeiffer syndrome	Mutation in Fibroblast growth factor receptor genes, FGFR1 gene is located on the short arm of chromosome number 8 (8p11.2-p11.1) and FGFR2 is located on the long arm of chromosome number 10 (10q26) ¹⁷ .
Cherubism	Mutation in SH3BP2 (SH3- domain binding protein 2) gene located on the short arm of chromosome number 4 at the 16.3 position (4p16.3) ¹⁷ .
Van der woude syndrome	Mutation in IRF6 (interferon regulatory factor 6) gene located on the long arm of chromosome 1 (1q32-q41) ¹⁷ .
Gorlin-goltz syndrome	Mutation in Protein patched homolog 1 (PTCH1) gene ¹⁷
Waardenburg syndrome (ws)	Type I Waardenburg syndrome is caused by mutations in the paired box-3 (PAX3) gene. Mutations in the melanocyte inducing transcription factor (MITF) and zinc finger protein (SNAI2) genes are responsible for type II Waardenburg syndrome ¹⁷ .
Osteogenesis imperfecta	Mutation in Gene collagen type 1, alpha 1(COL1A1) it is located on the long arm of chromosome number 17 between 21.3 and 22.1. Gene COL1A2 is located at 7q22.1 ¹⁷ .

Table 1:- Craniofacial defect and defective genes.

➤ Etiology Of Tooth Agenesis

Though many environmental etiological factors for tooth agenesis have been identified. There is definitive proof that genetic factors play a major role in their etiology¹⁷. Environmental factors that are implicated are maternal systemic diseases (maternal diabetes, hypothyroidism, rubella infection during pregnancy), anticancer treatment during childhood (radiotherapy and chemotherapy)¹⁷.

➤ Genetics Of Tooth Agenesis

Pax-9 and Msx-1 are two key genes involved in the embryological development of teeth and their mutation leads to tooth agenesis⁴¹. Pax-9 is situated on chromosome 14 (14q21) and belongs to the Pax gene family that encodes a group of transcription factors playing a major role in early development¹⁹. Pax proteins are defined by the presence of a DNA-binding domain, the 'paired domain', which makes sequence-specific contact with the target DNA region. Msx-1 is a homeobox gene involved in numerous epithelial-mesenchymal interactions during vertebrate embryogenesis and appears to be incredibly significant during early tooth development. It is situated on the short arm of chromosome 4¹⁹. Pax-9 and Msx-1 encodes transcription factors that are known to be essential for the switch in the odontogenic potential of developing tissues in the epithelium and the mesenchyme. These molecules play an important role in the maintenance of mesenchymal Bmp4 expression responsible for the formation of the dental organ. Pax-9 is able to regulate Msx-1 expression directly and interact with Msx-1 at the protein level to enhance its ability to transactivate Msx-1 and Bmp4 expression during tooth development. Pax-9 and Msx1 act as partners in a signaling pathway that involves Bmp4. Furthermore, the regulation of Bmp4 expression by the interaction of Pax-9 with Msx-1 at the level of transcription and through formation of a protein complex determines the fate of the transition from the bud to cap stage during tooth development¹⁹. Till date seven Msx-1 mutations as well as some whole gene deletions have been discovered in tooth agenesis patients. Msx-1 frameshift mutation is responsible

for autosomal-dominant oligodontia without clefting or nail dysplasia. The mutation involves duplication of the guanine nucleotide at position 62 in exon 1 of the Msx-1 gene. This mutation in Msx1 is usually associated with the absence of multiple permanent teeth including all second bicuspid and mandibular central incisors¹⁹. A number of mutations (upto 15) have been identified in the Pax genes that include nonsense, missense, frameshift and deletion types of defects. Mutation in the initiation codon of Pax-9 causes severe or complete inhibition of Pax9 translation at one allele resulting in a reduced amount of Pax-9 transcription factor, representing a haploinsufficiency for Pax-9. This functional insufficiency or absence of Pax-9 protein produced from Pax-9 gene ultimately results in tooth agenesis. The Msx1 and Pax9 kindred's have a high but equal probability of missing the third molars and hence the absence of third molars is not a useful indicator of the particular gene (Msx1 or Pax9) that is likely to be affected in a given kindred. Mutations in Msx-1 and Pax-9 genes may cause different types of oligodontia (different sets of teeth are missing in different gene mutations). For example all individuals with a mutation in Msx-1 lack all second premolars and third molars (and a variable number of other permanent teeth). Typically, mutations in the Pax-9 cause agenesis of most permanent molars (and again, a variable number of other permanent teeth). These differences presumably reflect different functions of these genes during development¹⁹. Very recently it has been shown that oligodontia and predisposition to cancer are caused by a nonsense mutation in the Axin-2 gene. The Axin-2 is a Wnt-signaling regulator. Wnt signaling regulates embryonic pattern formation and morphogenesis of most of the organs. Wnt-signal activity is necessary for normal tooth development. During tooth development Axin-2 is expressed in the dental mesenchyme, the odontoblasts and the enamel knot. Aberrations of regulation of Wnt signaling may lead to cancer. The nonsense mutation of Axin-2 is not only associated with tooth agenesis but also with colorectal cancer¹⁹. Dlx1 and Dlx2 genes play an important role in odontogenic patterning. These genes are important in

the development of maxillary molars in mice. But these genes are not required for development of incisors and mandibular molars. The mutation of *Dlx1* and *Dlx2* in mice leads to failure of development of maxillary molars.

➤ *Genes Responsible For Tooth Patterning And Development*

Information regarding tooth organogenesis was found by doing number of trails on mouse embryos as experimental material¹. All these studies show that there is a direct genetic control on odontogenesis, which determines the position, number, size and shape of the teeth¹⁸. Tooth formation undergoes different stages like bud, cap and bell stage during its developmental process. During the bell stage cytodifferentiation occurs which lead to the formation of enamel, dentin, Periodontal ligament which is a supporting structure of the tooth¹⁸.

Like other development processes during the embryonic phase morpho differentiation of teeth occurs under the influence of first branchial arch, where complex interactions between the stomodeal epithelium which is ectodermal derivative and the underlying mesenchyme which cranial neural crest derivative take place¹⁹. More than 300 genes are involved in this processes and prominent role is played by the transcription factors that have a homeodomain. The homeodomain consists of 60 aminoacids with a helix-turn-helix DNA binding protein and is encoded by a homeobox sequence¹⁹. Not only homeodomain facilitates it binding with DNA but transcription factors also contain a transactivation domain that interacts with a RNA polymerase and these transcription factors are in turn involved in the regulation of homeobox gene expression sites thus having a role in activation of genes in embryogenesis¹⁹. In the table 2 there are some important Homeobox gene and their functions are given.

GENES	FUCNCTION
Fibroblast growth factor-4	Induces expression of <i>Msx-1</i> in dental mesenchyme / responsible for growth of dental epithelium and dental mesenchyme ²⁰ .
Fibroblast growth factor -8	Induces expression of <i>Msx-1</i> , <i>Dlx-1/2</i> , <i>Pax-9</i> , <i>Lhx-6/7</i> , <i>Barx-1</i> , <i>Activin-A</i> in the dental mesenchyme ²⁰ .
Fibroblast growth factor-9	Same as <i>Fgf-4</i> / <i>Fgf-8</i> It maintains <i>Bmp-4</i> expression in dental mesenchyme. Mutation results in failure of development of all teeth ²⁰ .
Paired box-9	Mutation develops additional upper incisors. Required for the expression of <i>Bmp-4</i> , <i>Fgf-3</i> , and <i>Dlx-2</i> in the dental mesenchyme. Mutations result in failure to develop all teeth ²⁰ .
Paired box-6	The <i>Msx-1/2</i> mutants result in the arrest of teeth development ²⁰ .
Distal less-1/Distal less-2	Double mutant mice fail to develop maxillary molars. However single mutants have normal teeth ²⁰ .
Distal less-3	Mutation in humans results in enamel hypoplasia and taurodontism ²⁰ .
Sonic hedgehod	Stimulates proliferation of dental epithelium. Determines morphology of tooth ²⁰ .
Muscle segment-1/Muscle segment -2	Play a role in development of palate and ameloblast differentiation ²⁰ .
Gooseoid	Involves with rib defect and hypoplastic mandible, absence of coronoid and angular process ²⁰ .

Table 2:- Some Important Gene And Their Function

➤ *Genes Responsible For Orthodontic Tooth Movement*

As orthodontic force is placed on the teeth and the neighboring periodontal ligament (PDL) is compressed, the immune system responds at the site to relieve the tissue stress. As part of the stress response, ATP is released from platelets and can bind to the P2RX7 membrane channel protein located on the surface of immune cells and/or cells of the PDL²¹. Upon binding ATP, the P2RX7 ion channel is opened, allowing the exchange of intracellular potassium (K⁺) and extracellular sodium (Na⁺⁺), along with triggering the elevation of calcium (Ca⁺⁺) from intracellular stores. Elevation of intracellular Ca⁺⁺ will activate caspase-1 (also termed IL-1 β converting enzyme or ICE) which is located in inflame some complexes with the cell Caspase-1 cleaves the pro-IL-1 β molecule, releasing active mature IL-1 β for biological function²². IL-1 β can recruit other inflammatory cells to the site of tissue damage, and it can bind to its receptor

on the surface of pro-osteoblastic cells in order to signal the activation of such genes as RANKL and OPG²³. When RANKL protein is synthesized and expressed on the surface of the osteoblastic cells, in concert with the production of M-CSF and its binding to the c-fms receptor on the surface of pre-osteoclastic cells, the osteoclast precursor cells are signaled to mature into functional osteoclasts. OPG and soluble RANKL (sRANKL) can act to dampen the maturation signal to pro-osteoclast cells by interfering with RANKL: RANK interactions²³. The action of both osteoblasts and osteoclasts is needed to resolve the tissue stresses within the PDL from orthodontic force application. Fig-1 shows the activity of gene during OTM. And gene involved in OTM (orthodontic tooth movement and EARR (external apical root resorption) is given in table 3.

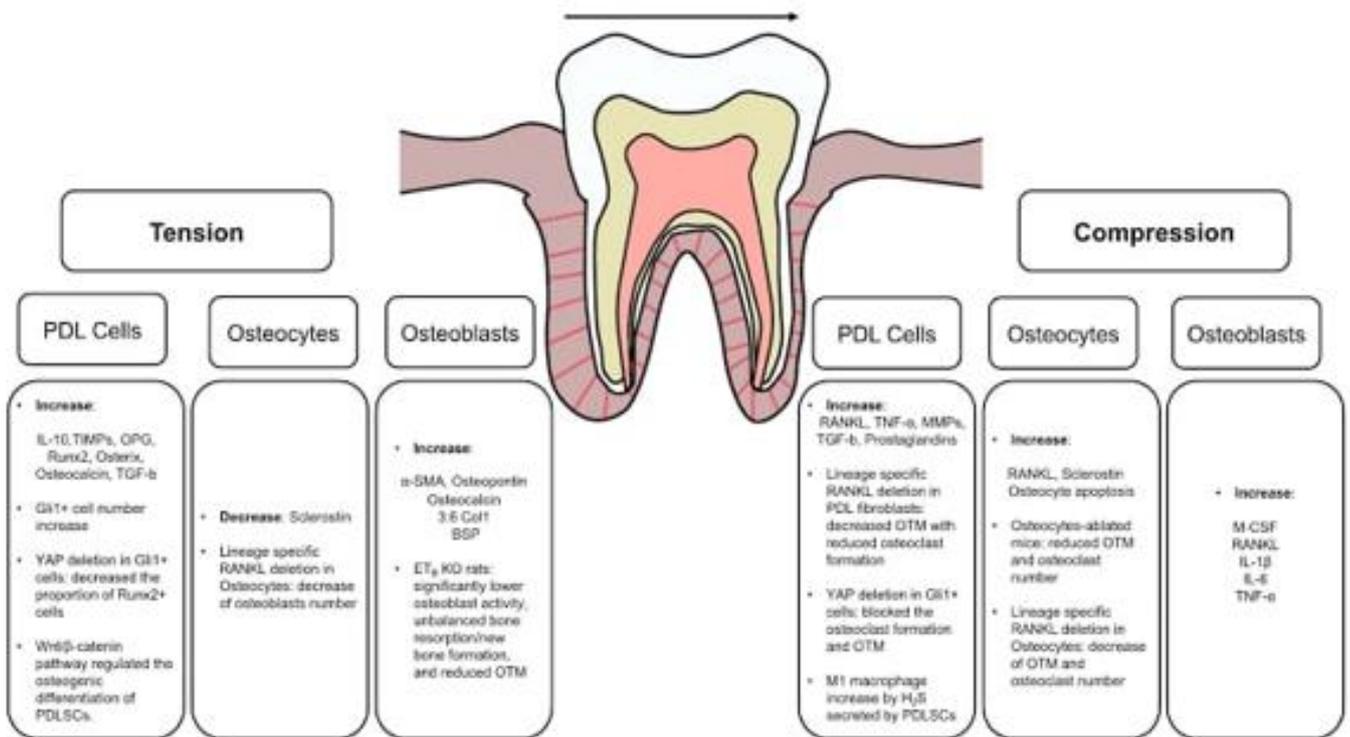


Fig 1:- Activity of genes during tooth movement in compression and tension side²⁵.

<p>Genes responsible for tooth movement at tension side²⁵.</p>	<ul style="list-style-type: none"> • TIMPs gene (tissue inhibitors of metalloproteinases) <ul style="list-style-type: none"> • IL-10 gene(interlukin-10) • RUNX2 gene(Runt related transcription factor 2) <ul style="list-style-type: none"> • Osterix (Sp7 gene) • Transforming growth factor-beta (TGF -beta) <ul style="list-style-type: none"> • Tumor necrosis factor alpha gene
<p>Genes responsible for tooth movement at compression side²⁵.</p>	<ul style="list-style-type: none"> • Receptor activator of nuclear factor kappa-B (RANKL) <ul style="list-style-type: none"> • Osteoclast differentiation factor (ODF) • Macrophage colony stimulating factor (M-CSF) <ul style="list-style-type: none"> • IL-1beta gene(interlukin)
<p>Genes responsible external apical root resorption (EARR)²⁴.</p>	<ul style="list-style-type: none"> • Tumor necrosis factor alpha gene <ul style="list-style-type: none"> • Purinoceptor-7(P2RX7) • Osteopontin gene(OPN) • Vitamin D receptor gene • Caspase-1 gene(CASP) • Tissue nonspecific alkaline phosphate Gene(TNSALP)

Table 3:- Gene Responsible For Otm And Earr.

➤ *Crispr The Furutre of Genetic Disease Medicine*

Clustered regularly interspaced short palindromic repeats. It means repetitive DNA sequence. It is a genetic engineering technique in molecular biology by which genomes of living organisms may be modified²⁶.

III. CONCLUSION

It is a demanding effort to dissect the functional specificity of these complex genes to refine their downstream targets. These attempts can also contribute towards the molecular characterization of stem cells using homeobox gene markers and their feasibility for tooth repair and

regeneration. Future investigations should also involve the identifications of factors and signals controlling the gene modifying loci of transcriptional factors, which plays a critical role during embryonic development. Such developments not only will provide essential oral functions of missing or defective teeth, which is a kind of social handicap due to mastication, speech and aesthetic problems, but can improve the socio-cultural interactions of an individual resulting high impact on emotional well-being thereby representing a good life quality.

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