

# Recognition of Facial Expression with the Help of IoT, AI and Robotics

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**Abstract:-** The emerging field of "Smart Face Recognition" utilizes IoT and machine learning to accurately identify individuals based on their facial characteristics. Various industries such as security, retail, and healthcare are leveraging this technology to enhance customer satisfaction and increase productivity. By combining IoT and machine learning, large amounts of data can be collected from multiple sources, such as cameras and sensors, and used to train algorithms for real-time, precise identification of individuals. This technology is gaining popularity due to its accuracy, speed, and scalability, making it essential for applications like security and access control. Recognizing human facial emotions is a key focus in today's technological landscape, with robotic applications across various sectors highlighting the importance of emotion recognition for effective human-robot interaction. This project aims to develop and implement a new automated system for emotion detection and facial recognition using Artificial Intelligence (AI) and the Internet of Things (IoT).

**Keywords:-** Face Recognition, Emotion Detection, Artificial Intelligence, and Internet of Things.

## I. INTRODUCTION

Artificial intelligence, simply put, involves the development of artificial humans or intelligent machines capable of processing information in a manner similar to humans. Illustrations of AI models encompass the Siri Virtual Assistant found on Apple iPhones and autonomous vehicles. Human intervention. The implementation of AI has revolutionized the way work is done today, with many companies replacing workers with AI-powered robots or automation technologies. Tasks that used to take days to complete can now be done in a matter of minutes thanks to AI. From surveillance to data mining to warfare, AI-based robots are being used in various fields to reduce human casualties. In fact, US-based companies are developing different types of robotic armies for warfare.

### ➤ Overview

The identification of human facial emotions is a crucial aim in the contemporary technological sphere. Robotic applications are now prevalent in nearly all sectors, emphasizing the significance of emotion recognition for successful human-robot interaction. Version 1:

The main objective of this project is to create and implement a novel, automated system for identifying emotions and recognizing faces using Artificial Intelligence (AI) and the Internet of Things (IoT). Important concepts involved are Face Recognition, Emotion Detection, Artificial Intelligence, and Internet of Things.

### ➤ Problem Definition:-

Our goal is to create a system that makes use of machine learning and the Internet of Things (IoT) to effectively and intelligently detect faces. Traditional face recognition systems rely on manual input, which can be time-consuming, error-prone, and have low accuracy. By capitalizing on the growing popularity of IoT devices and machine learning methods, we can develop a system that can accurately identify individuals without the need for user input, by learning from facial feature patterns. This system can be applied in various areas such as attendance tracking, security systems, and personalized marketing. However, the main challenge is to build a system that is both reliable and secure, while also protecting the privacy of users.

### ➤ Objectives

The objective of integrating intelligent facial recognition technology through IoT and machine learning is to improve the security and productivity of various enterprises and public spaces. By utilizing cameras and sensors, this technology captures images and videos of individuals, which are then analyzed by machine learning algorithms to identify faces and compare them against a database of known individuals.

### ➤ Hardware and Software Tools Used

Integrating smart facial recognition can help organizations improve security measures by monitoring employee attendance, detecting unauthorized access, and identifying potential risks promptly. This technology also enables quick and easy access control to restricted areas such as banks, airports, and government facilities.

Furthermore, the use of IoT-enabled smart facial recognition has the potential to improve the consumer experience across different industries such as hospitality, retail, and healthcare. By analyzing clients' faces and preferences, services and recommendations can be personalized accordingly. The use of IoT and machine learning in developing smart facial recognition aims to boost

security, efficiency, and customer satisfaction across different commercial sectors and public spaces.

## II. LITERATURE SURVEY

### A. Recent Advances in Face Recognition using Convolutional Neural Networks:

The application of convolutional neural networks (CNNs) has greatly improved the accuracy of face recognition systems. In a study by Taigman et al. (2014), a deep CNN was trained on a large dataset of face images, leading to outstanding results in face identification and verification tasks. The research emphasizes that the deep neural network effectively extracted highly distinctive features from facial pictures, consequently enhancing the overall performance.

### B. IoT-based Facial Recognition Systems:

The Internet of Things (IoT) has played a significant role in enhancing the capabilities of facial recognition systems. A research conducted by Fan et al. (2018) showcased the utilization of Raspberry Pi to develop an IoT-based facial recognition system. This system effectively captured and processed facial photographs, enabling swift and precise identification of individuals in real-time.

### C. Facial Recognition using GANs:

The application of generative adversarial networks (GANs) has resulted in a recent enhancement in the accuracy of facial recognition systems. In 2018, Zhang and colleagues developed a GAN-driven method to produce high-quality facial images, which were subsequently utilized for the training of a facial recognition system. The study demonstrated the efficacy of GANs in improving the precision of facial recognition assignments.

### D. Hybrid Face Recognition Systems:

Furthermore, there have been advancements in hybrid facial recognition systems that incorporate traditional computer vision techniques as well as machine learning algorithms. Zhou et al. (2018) conducted a study where they merged Local Binary Patterns (LBP) with Support Vector Machines (SVMs) to establish a hybrid face recognition system. This system demonstrated exceptional accuracy when employed in facial recognition tasks.

## III. MACHINE LEARNING

Machine learning is a subfield of artificial intelligence that concentrates on the development and examination of systems capable of learning from data. Instead of relying on a fixed equation, machine learning algorithms use computational techniques to extract information directly from data. These algorithms continuously improve their performance as they are exposed to more data samples. According to Tom M. Mitchell, a computer program learns from experience  $E$  in relation to a specific set of tasks  $T$  and performance measure  $P$  if its performance in tasks within  $T$ , as measured by  $P$ , improves with experience  $E$ . The essence of machine learning lies in representation and generalization. All machine learning systems involve representing data

instances and functions assessed on these instances. Generalization refers to a machine learning system's ability to accurately perform on new, unseen data instances after being trained on a set of learning data instances. The training examples are sourced from a probability distribution that is generally unknown, and the learner must construct a general model of this space to make sufficiently accurate predictions on new cases. The system's ability to generalize is typically assessed based on its capacity to replicate known knowledge from newer examples. While there are various types of machine learning, the primary ones are supervised learning and unsupervised learning. Supervised learning refers to the process of training a machine learning model to infer a function based on provided training data. This training data consists of examples that include both input subjects and their corresponding desired output. By analyzing this training data, a supervised learning algorithm generates a function, also known as a classifier or regression function. The ultimate goal of this function is to accurately predict the correct output value for any given input object. To achieve this, the learning algorithm must be able to generalize from the provided training data to handle unseen situations effectively.

### A. Humanoid Robot and Robot Structure

Humanoids are a combination of artificial intelligence and robotics. They usually possess a physical form resembling that of humans, frequently featuring skin and eyes, and are outfitted with sensors and cameras for detecting human faces, reacting to voice instructions, and participating in dialogues.

The robotic arm is made up of three key parts: the shoulder, elbow, and wrist, which together create the main structure of the arm. Located at the bottom of the arm, the shoulder is usually linked to the controller and allows for movement in different directions such as forward, backward, and rotational motion.

### B. Existing System

- The current system has selected five unique algorithms based on the most crucial criteria. These algorithms include Wavelet, Linear Discriminant Analysis (LDA), Principal Component Analysis (PCA), and Artificial Neural Networks (ANN). Additional criteria, such as type and size specifications, have been used to evaluate these algorithms.
- A sophisticated facial recognition camera system captures an individual's face as they approach. The system then processes the image to extract facial features like the positions of the mouth, nose, and eyes. Subsequently, this data is transmitted to the cloud server for further analysis.
- The cloud server compares the extracted facial features with the images stored in the database. If a match is found, the system identifies the individual and provides any additional information stored in the database. If no match is found, the system may request more information from the user or simply deny the request.

### C. Limitations: -

- **Dependence on High-Quality Data:** An issue with current IoT-based and machine learning-based smart facial recognition systems is their reliance on high-quality data for optimal performance. If the input data is of low quality or poorly structured, the algorithm may struggle to accurately identify faces, leading to incorrect matches or rejections.
- **Sensitivity to Environmental Factors and Lighting:** The effectiveness of smart facial recognition systems is significantly influenced by environmental factors, particularly lighting conditions. Elements like shadows, glare, and variations in illumination can significantly impact the system's precision, resulting in less than ideal performance in specific environments or lighting situations.
- **Limited Adaptability to Face Variations:** While machine learning techniques can improve the precision of facial recognition systems, their capacity to identify faces with significant variations is restricted. Factors such as facial hair, changes in hairstyle, and facial expressions can present difficulties for the system, resulting in recognition inaccuracies.
- **Privacy Concerns:** A key drawback of intelligent facial recognition systems is the potential for privacy violations. These technologies may gather facial data without the individual's consent, raising concerns about data misuse. Additionally, the system's security vulnerabilities could lead to unauthorized access and misuse of facial data.
- **Ethical and Legal Considerations:** The utilization of smart facial recognition systems raises ethical and legal challenges, particularly concerning bias, discrimination, and surveillance. Users may encounter unjust treatment based on their ethnicity, gender, or other attributes. Moreover, the technology's application for surveillance purposes may violate individuals' right to privacy, leading to adverse legal and ethical consequences.

### D. Proposed System

#### ➤ *Data Collection:*

The first step in the face recognition system is the collection of data. The system must collect images of faces from various angles and in different lighting situations. This can be done by using a camera or a video camera to gather the necessary data.

#### ➤ *Face Detection:*

In the second phase, the system recognizes the existence of a face in the taken image. Different face detection algorithms, such as the Viola-Jones algorithm, deep learning-based algorithms like CNN, YOLO, and SSD, utilize a variety of methods to precisely identify faces in an image.

#### ➤ *Face Alignment:*

Once the face has been detected, the subsequent task involves aligning it accurately. The process of face alignment holds immense significance as it aids in eliminating discrepancies in face positions and scales. Numerous face alignment techniques exist, such as MTCNN, 3D Morphable Model, and more.

#### ➤ *Feature Extraction:*

The subsequent stage includes the extraction of characteristics from the facial image. Different algorithms for feature extraction, such as Local Binary Pattern (LBP), Scale-Invariant Feature Transform (SIFT), Histogram of Oriented Gradients (HOG), and Convolutional Neural Networks (CNNs), are employed for this task.

#### ➤ *Facial Recognition:*

After extracting the characteristics, the facial recognition algorithm compares them with the stored database of recognized faces in order to determine the individual's identity. There are several methods that can be utilized for the recognition process, including Eigenfaces, Fisherfaces, Local Binary Patterns Histograms (LBPH), Deep Neural Networks, and more.

#### ➤ *Decision Making:*

The last step involves reaching a conclusion. After the completion of the face recognition process, the system checks if the face matches any of the faces saved in the database. If a match is found, the system can reveal the person's identity; however, if there is no match, the face is classified as unknown.

To sum up, this flowchart gives an outline of the standard process of a face recognition system, starting from gathering data and ending with the decision-making phase. Depending on the specific setup, there might be variations in the algorithms and methods utilized.

- Innovative algorithm
- Advanced Learning Techniques
- CNNs

## IV. METHODOLOGY

#### ➤ *Dataset*

The challenging and captivating subject of face identification has attracted researchers from various disciplines such as psychology, pattern recognition, neural networks, computer vision, and graphics.

- The following face recognition methods are utilized:
- Utilizing oriented gradient histograms (HOG) to detect all faces
- Engaging in a demonstration and showcasing Face Landmark Estimation
- Encoding the faces
- Extracting the individuals' names from the encoding.

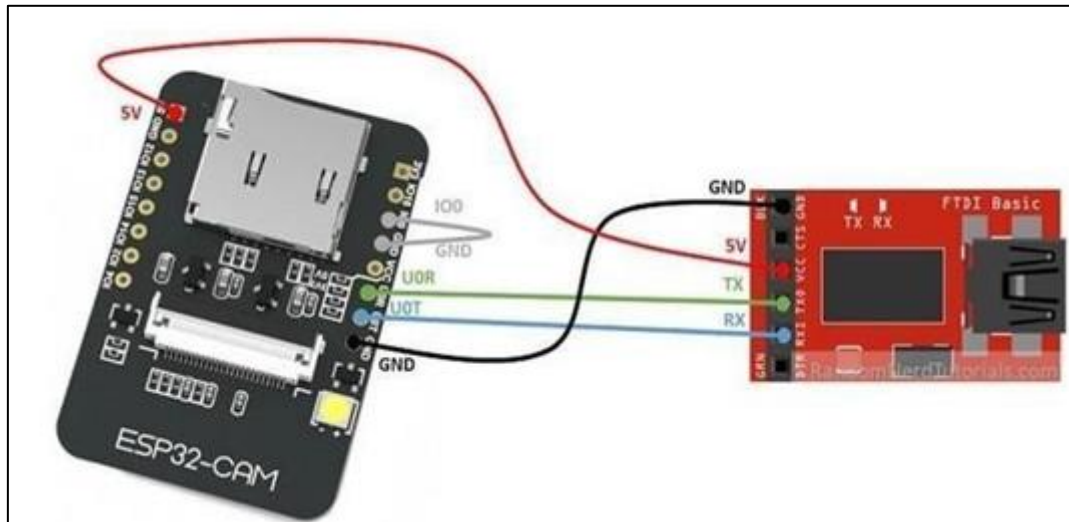


Fig.1 Schematic Diagram of Face Recognition

Utilize an FTDI programmer to connect the ESP32-CAM board to your computer. A jumper that lets you choose between 3.3V and 5V is found on several FTDI programmers. Make sure the jumper is in the appropriate position to choose 5V.

## V. DESCRIPTION

### A. Hardware Requirements: -

- To ensure smooth processing, it is necessary to have a Random Access Memory (RAM) of 4 GB or higher.
- It is essential to have a hard drive with a minimum capacity of 10 GB to accommodate all the data.
- A Dual Core Processor is a prerequisite, or alternatively, the latest and most advanced model should be utilized.
- The operating system can be either the most recent version of MacOS or Windows (7/8/10).

- A camera with a resolution of at least 2 MP or higher can be utilized

### ➤ ESP-32:

The ESP32 microcontroller, a System-on-a-chip (SoC), is an affordable and energy-efficient device suitable for various applications, such as face recognition. Its integrated WiFi and Bluetooth capabilities make it a popular choice for IoT and smart home projects.

The ESP32 can be employed for facial recognition by capturing images using a camera module and analyzing them with a deep learning algorithm. The algorithm can be trained for real-time facial recognition and identification. Subsequently, the ESP32 can perform additional face recognition tasks or initiate actions based on the identified individual's profile



Fig.2 ESP-32

### ➤ FTDI Programmer:

FTDI (Future Technology Devices International) is a well-known manufacturer of USB-to-serial converter chips that are commonly used to provide a USB interface for microcontrollers like the ESP32. In the case of the ESP32 development boards, the USB-to-serial converter chip integrated into them is referred to as FTDI. This chip enables the ESP32 to establish a connection with a computer via USB, allowing for firmware updates and interaction through a terminal program. Due to the absence of a USB port on the ESP32-CAM, we require an FTDI programmer to upload code using the U0R and U0T pins (serial pins).

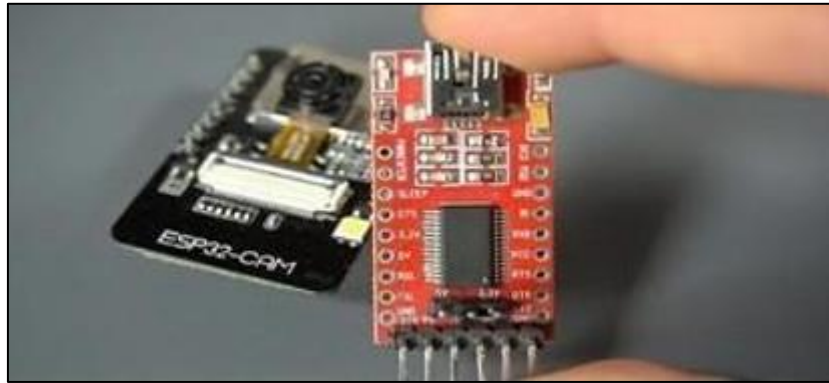


Fig.3 FTDI Programmer

**B. Software Requirements: Description:**

➤ **Arduino IDE:**

An Arduino is a platform for open-source electronics that utilizes user-friendly hardware and software. Its purpose is to simplify the development of interactive electronic projects for individuals. Arduino boards microcontrollers can interface with a wide range of sensors, motors, and other hardware components, and they can be programmed using various programming languages, including C++. While the Arduino microcontroller platform can be used to build

projects involving face recognition, it cannot directly perform face recognition itself. Instead, it can serve as a control hub to process signals from cameras and other sensors and interface with them. To implement facial recognition, you would need to connect a camera module, such as the OV7670, to the Arduino board. Additionally, you would need to utilize a software library like OpenCV to process the camera photos and execute the facial recognition algorithms. Once the face recognition algorithm produces results, the Arduino can take appropriate actions based on those findings.

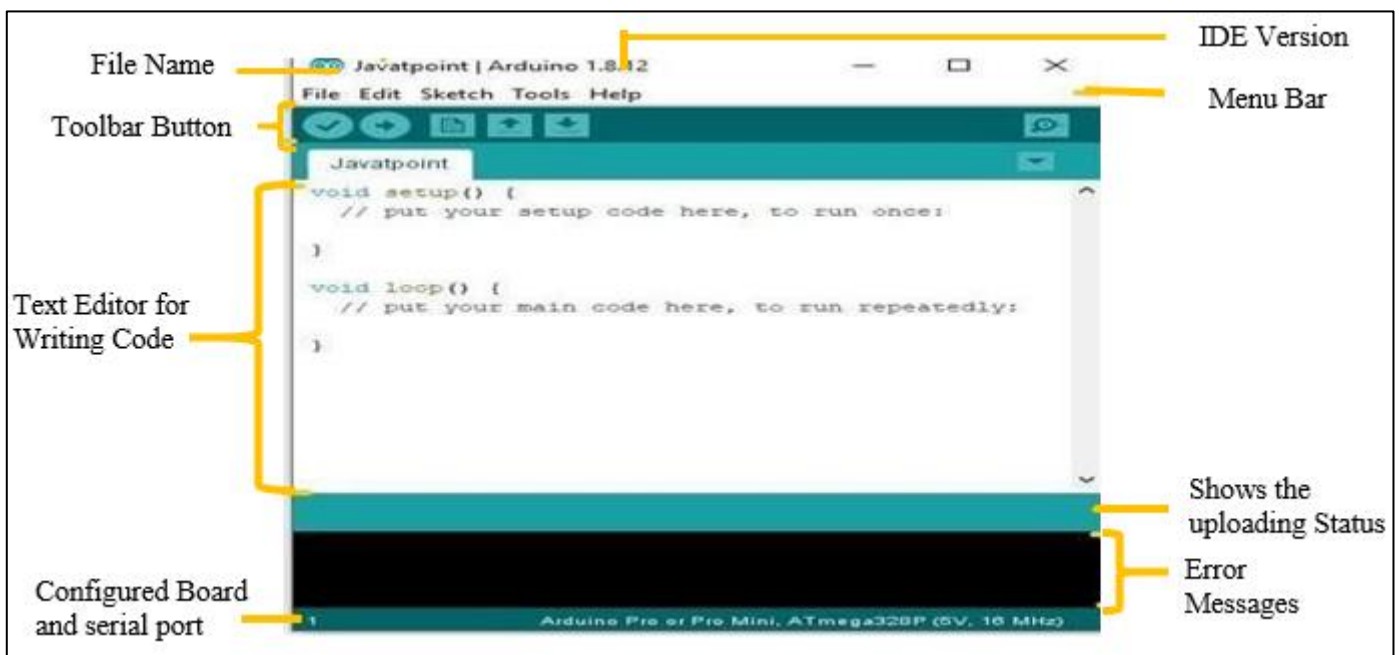


Fig.4 Arduino IDE

➤ **Language Used:**

Python is a widely used programming language that enables the development of intelligent face recognition systems through the integration of IoT and machine learning. The application of face recognition extends to various domains such as marketing, access control, and security, where it serves the purpose of identifying or verifying an individual's identity based on their facial characteristics.

Utilizing machine learning techniques, face recognition systems can still perform face identification and classification by leveraging pre-defined features. For instance, a support vector machine (SVM) classifier can be employed to match facial traits with known individuals stored in a database. The IoT component of these systems may incorporate cameras or other sensors to capture facial photos or data, which can then be processed using Python scripts.

➤ *Editor Used:*

PyCharm is an integrated development environment (IDE) that supports the Python programming language. It offers a robust editor with features such as code completion, debugging, and intelligent code analysis. PyCharm can be utilized for various tasks like data preparation, face recognition using machine learning models, and other software development activities. Moreover, PyCharm is instrumental in creating software components that interact with IoT devices. It also provides support for numerous Python libraries and frameworks commonly employed in machine learning and computer vision, such as OpenCV and Tensor Flow.

➤ *Library Used:-*

CMake is a build system tool utilized for generating build files for C++ programming, rather than being a library. When developing projects related to image recognition, particularly intelligent face recognition, it is common to combine CMake with libraries such as OpenCV, TensorFlow, and other machine learning libraries. Additionally, CMake generates native workspaces and make files that are compatible with various compiler environments. Kitware developed the CMake tool set to offer a reliable, cross-platform build environment for open-source programs like ITK and VTK.

➤ *Dlib:*

The Dlib general-purpose cross-platform software library in the programming language C++ was developed with inspiration from component-based software engineering and design by contract. As a result, it consists mainly of standalone software components. It is classified as open-source software.

## VI. CONCLUSION

It has been proven that face recognition-based systems for human or criminal detection are reliable and secure. Through the use of specific methods and setups involving a variety of hardware and software like OpenCV, face detection and identification can be accomplished effectively. Furthermore, the integration of Arduino ide, ESP-32, and FTDI has shown to be successful in capturing images from the surroundings and matching them with a stored database, resulting in a higher recognition rate and lower false rate. This system can function as a security surveillance system, and by adding a Raspberry Pi infrared camera module, the identification rate can be further improved.

## FUTURE SCOPE

If there is an increase in the demand for face recognition technology, it will prove beneficial for all in the upcoming years. The suggested technology will not only be limited to criminal identification but will also be beneficial for various purposes such as monitoring attendance, enhancing home security, improving business operations, optimizing retail experiences, and managing parking facilities, among other applications. Furthermore, supplementary functionalities like incorporating a location-based IP address tracking system to

pinpoint the exact whereabouts of a criminal or enabling the transmission of alerts to the nearest crime investigation department for apprehending suspects can be integrated upon approval and successful validation.

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